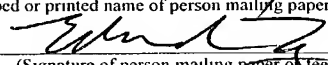


10/049331
JC10 Rec'd PCT/PTO 11 FEB 2002

FORM PTO-1390 (REV 12-2001)		U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 681008-2000
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U S APPLICATION NO (If known see 37 C.F.R. 1.5) 10/049331
INTERNATIONAL APPLICATION NO. PCT/EP01/06706	INTERNATIONAL FILING DATE 13 JUNE 2001	PRIORITY DATE CLAIMED 13 JUNE 2000	
TITLE OF INVENTION SUPPORT MATRIX FOR COMMUNICATION AND METHOD USED TO OPERATE A TRADING CENTRE BY MEANS OF THE SAME			
APPLICANT(S) FOR DO/EO/US Frank WIECZOREK			
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: PCT/ISA/203, 4 sheets of drawings			
		EXPRESS MAIL Mailing Label Number: EL861105735US Date of Deposit: February 11, 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231 <i>Edward Nay</i> (Typed or printed name of person mailing paper or fee)  (Signature of person mailing paper or fee)	

[illegible]

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.50) <div style="font-size: 24pt; font-weight: bold; margin-left: 40px;">90/049331</div>	INTERNATIONAL APPLICATION NO. PCT/EP01/06706	ATTORNEY'S DOCKET NO. 681008-2000
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21. <input checked="" type="checkbox"/> The following fees are submitted	CALCULATIONS PTO USE ONLY																																																	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">ENTER APPROPRIATE BASIC FEE AMOUNT =</td> <td style="width: 40%; text-align: right;">\$ 890.00</td> </tr> <tr> <td>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td style="text-align: right;">\$</td> </tr> <tr> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">17 - 20 =</td> <td style="text-align: center;">0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">2 - 3 =</td> <td style="text-align: center;">6</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table> </td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$1,394.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.</td> <td style="text-align: right;">+</td> <td style="text-align: right;">\$ 697.00</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL =</td> <td style="text-align: right;">\$ 697.00</td> </tr> <tr> <td>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td></td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td style="text-align: right;">\$ 697.00</td> </tr> <tr> <td>Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property</td> <td style="text-align: right;">+</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td style="text-align: right;">\$ 697.00</td> </tr> <tr> <td></td> <td style="text-align: right;">Amount to be refunded:</td> <td style="text-align: right;">\$</td> </tr> <tr> <td></td> <td style="text-align: right;">Charged:</td> <td style="text-align: right;">\$</td> </tr> </table>	ENTER APPROPRIATE BASIC FEE AMOUNT =	\$ 890.00	Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">17 - 20 =</td> <td style="text-align: center;">0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">2 - 3 =</td> <td style="text-align: center;">6</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	17 - 20 =	0	x \$18.00	Independent Claims	2 - 3 =	6	x \$84.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$	TOTAL OF ABOVE CALCULATIONS =		\$1,394.00	<input checked="" type="checkbox"/> Applicant claims small entity status. 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a. <input checked="" type="checkbox"/> A check in the amount of \$697.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0320 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																		

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

John R. Lane, Esq.
Frommer Lawrence & Haug LLP
745 Fifth Avenue
New York, New York 10151

 SIGNATURE

John R. Lane

 NAME

35,582

 REGISTRATION NUMBER

Dated: **February 11, 2002**

TRANSLATION ACES

29 Broadway ♦ Suite 2301

New York, NY 10006-3279

Tel. (212) 269-4660 ♦ Fax (212) 269-4662



[Translation from German]

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Boehmert & Boehmert, Hollerallee 32, 28209
Bremen (DE) | |
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| (71) | Applicant and | | |
| (72) | Inventor: WIECZOREK, Frank [DE/DE];
Birkenweg 9, 40670 Meerbusch (DE). | | |

(54) Title: SUPPORT MATRIX FOR COMMUNICATION AND METHOD USED TO OPERATE A
TRADING CENTRE BY MEANS OF THE SAME

(54) [German] Title: MATRIX ZUR UNTERSTÜTZUNG EINER KOMMUNIKATION UND DIESE
VERWENDENDEN VERFAHREN ZUM BETREIBEN EINES HANDELSPLATZES

(57) Abstract:

(57) [German] Abstract:

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AFFIDAVIT OF ACCURACY

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) ss.:
COUNTY OF NEW YORK)

I, the undersigned, being duly sworn, depose and state:

I am qualified to translate from the German language into the English language by virtue of being thoroughly conversant with these languages and, furthermore, having translated professionally from German into English for more than 10 years;

I have carefully read the translation appearing on the attached and said translation is an accurate, true and complete rendition into English from the original German-language text, and nothing has been added thereto or omitted therefrom, to the best of my knowledge and belief.

A handwritten signature in cursive script, reading "Golriz Buzman", written over a horizontal line.

TRANSLATION ACES, INC.

Subscribed and sworn to before me

this 5th day of June, 2002.

A handwritten signature in cursive script, reading "Karyn L. Tasens", written over a horizontal line.

KARYN L. TASENS
Notary Public, State of New York
No. 31-4680695
Qualified in New York County
Commission Expires Oct. 31, 2002

